14.3 RC36 PCT/779 05 APR 2005

FORM PTO 1390 (REV 5-93)

US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY DOCKET NUMBER 2006_0469A

U.S. APPLICATION NO. (if known, see 32 CFP 5)

International Application No. PCT/JP2004/018915

International Filing Date
December 17, 2004

Priority Date Claimed December 19, 2003

Title of Invention

RECORDING DEVICE CONTROL APPARATUS

Applicant(s) For DO/EO/US

Shohji OHTSUBO et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- 4. [] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [X] is transmitted herewith. ATTACHMENT A
 - b. [] has been transmitted by the International Bureau.
- c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [X] A translation of the International Application into English (35 U.S.C. §371(c)(2)). ATTACHMENT B
- 7: Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)).
 - a. [] are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19.
- 9. [X] An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). ATTACHMENT C
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 14. below concern other document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98. ATTACHMENT D
- 12. [] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [X] A FIRST preliminary amendment. ATTACHMENT E
 - [] A SECOND or SUBSEQUENT preliminary amendment.

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

14. [X] Other items or information:

• Letter Regarding Proposed Drawing Amendments along with 1 Sheet of Annotated Marked-up Drawing (Fig. 5)

ATTACHMENT F

- Submission of Replacement Formal Drawing along with 1 sheet of Formal Drawing (Fig. 5). ATTACHMENT G
- Form PCT/IB/304. ATTACHMENT H

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U.S. APPLICATION 15 TO 137 CT 1.5) 1 9 INTERNATION NEW PCT/JP2004/01			ONAL APPLICATION NO.		ATTORNEY'S DOCKET NO. 2006_0469A		
15. [X] The following fees are submitted				CALCULATIONS	PTO USE ONLY		
Basic National Stage Fee							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$900.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
Claims	Number Filed	Number	Extra	Rate			
Total Claims	7 -20 =	0		X \$50.00	\$		
Independent Claims	3 - 3 =	0		X \$200.00	\$		
Multiple dependent claim(s) (if applicable) + \$360.00					\$		
TOTAL OF ABOVE CALCULATIONS =					\$900.00		
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.					\$		
SUBTOTAL =					\$900.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$		
' TOTAL NATIONAL FEE =					\$900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +					\$		
TOTAL FEES ENCLOSED =					\$900.00		
					Amount to be refunded	\$	
					Amount to be charged	s	
 a. [X] A check in the amount of \$900.00 to cover the above fees is enclosed. A duplicate copy of this form is enclosed. b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0975. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to review (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. 							
19. CORRESPONDENCE ADDRESS							
					ouglas Hahm ,		
CUSTOMER NO.				Registration No. 44,142			
	WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800						
52349				Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250			
Α					pril 5, 2006		

[2006_0469A]

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

re apprication of

Shohji OHTSUBO et al.

Attorney Docket No. 2006_0469A

Serial No. NEW

Mail Stop PCT

Filed April 5, 2006

RECORDING DEVICE CONTROL APPARATUS

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975

LETTER REGARDING PROPOSED DRAWING AMENDMENTS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed herewith is a photocopy of Fig. 5 marked in red to indicate proposed drawing amendments thereto.

The Examiner is requested to approve such proposed drawing amendments.

Respectfully submitted,

Shohji OHTSUBO et al.

By

W. Dønglas Hahm

Registration No. 44,142 Attorney for Applicants

WDH/ck Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 April 5, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

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Attorney Docket No. 2006_0469A

Shohji OHTSUBO et al.

Mail Stop: PCT

Serial No. NEW

Filed April 5, 2006

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TO CHARGE ANY DEFICIENCY IN THE

FEES FOR THIS PAPER TO DEPOSIT

ACCOUNT NO. 23-0975

RECORDING DEVICE CONTROL APPARATUS

SUBMISSION OF REPLACEMENT FORMAL DRAWING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached hereto is 1 sheet of formal (A4) drawing (Figs. 5).

Respectfully submitted,

Shohji OHTSUBO et al.

By:

W. Douglas Hahm

Registration No. 44,142 Attorney for Applicants

WDH/ck

Washington, D.C. 20006-1021 Telephone: (202) 721-8200 Facsimile: (202) 721-8250

April 5, 2006